

FEB 08 2006

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<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>					Docket No. 12382-4	
Applicant(s): VON BORSTEL, Reid et al.						
Application No. 10/803,409	Filing Date March 17, 2004	Examiner MAYES, Dionne Walls	Customer No. 23676	Group Art Unit 1731	Confirmation No. 5555	
Invention: FILTER CONTAINING A METAL PHTHALOCYANINE AND A POLYCATIONIC POLYMER						
<p align="center"><u>COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is an amendment in the above-identified application.</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>The fee has been calculated and is transmitted as shown below.</p>						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	145 -	165 =	0	x \$25.00	\$0.00	
INDEP. CLAIMS	8 -	7 =	1	x \$100.00	\$100.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$100.00	
<p><input type="checkbox"/> No additional fee is required for amendment.</p> <p><input checked="" type="checkbox"/> Please charge Deposit Account No. 19-2090 in the amount of \$100.00</p> <p><input type="checkbox"/> A check in the amount of to cover the filing fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090</p> <p><input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.</p> <p><input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <p align="right">Dated: February 8, 2006</p>						
<p><i>David A. Farah</i> Signature</p> <p>David A. Farah, M.D. SHELDON &amp; MAK PC 225 South Lake Avenue, 9th Floor Pasadena, California 91101 Tel.: (626) 796-4000 Fax: (626) 795-6321 E-mail: davidf@usip.com</p>			<p>I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</p> <p>_____ (Date)</p> <p>_____ Signature of Person Mailing Correspondence</p> <p>VIA FACSIMILE (571) 273-8300</p> <p>_____ Typed or Printed Name of Person Mailing Correspondence</p>			
cc: Filligent Limited						

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PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
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TRANSMITTAL  
FORM

VIA FACSIMILE (571) 273-8300

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

25

Application Number

10/803,409

Filing Date

March 17, 2004

First Named Inventor

VON HORSTEL, Reid

Art Unit

1731

Examiner Name

MAYES, Dione Walls

Attorney Docket Number

12382-4

## ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☒ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify below)

Remarks

The Amendment submitted herewith is a Supplemental Amendment in response to Office Action dated October 11, 2005 and supplements Response to Office Action filed on November 16, 2005.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

SHELDON & MAK PC  
225 South Lake Avenue, Suite 900, Pasadena, California 91101

Signature

Printed name

David A. Farah, M.D.

Date

February 8, 2006

Reg. No.

38,134

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Marilyn Paik

Date

February 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10/803,409

12382-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

VON BORSTEL, Reid W.

Serial No.: 10/803,409

Filed: March 17, 2004

For: Filter Containing a Metal  
Phthalocyanine and a Polycationic  
Polymer

) Group Art Unit: 1731

) Examining Attorney: MAYES, Dionne Walls

) Confirmation No. 5555

) Via Facsimile (571) 273-8300

) Pasadena, California

SUPPLEMENTAL AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 2231301450

Dear Sir:

This is a supplementary amendment supplementing the Response to Office filed on November 16, 2005 responding to the Office Action dated October 11, 2005. Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 21 of this paper.

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